



# Educational Programs

## CCO Webinar Series: Building a Risk Assessment and Audit Program Tailored to the Size and Scale of your Organization

**October 29, 2025 – 9:00am PT/12:00pm ET**  
**One (1) RMAI Education Credit**

**Sponsored By:**



In today's ever-changing regulatory environment, assessing, monitoring, auditing, and remediating the risks facing your organization is essential. Understanding functions and how to tailor to the size and scale of your organization is fundamental.

During this session attendees will explore the distinctions between audit, both internal and external, monitoring, and risk assessments. Attendees will also learn how to evaluate and implement remediation programs in use today by their industry compliance peers.

The session will cover best practices for internal monitoring, preparing for various audit types (regulatory, client, RMAI, and internal), and using root cause analysis to drive continuous improvement. It is tailored for compliance professionals, internal auditors, and operational leaders seeking to strengthen their organization's audit posture and risk management capabilities.

**Pricing:**

**Member:** \$64.00

**Non – Member:** \$94.00

**Register**

## Course Presenters:



**Rozanne M Anderson** is a distinguished attorney known for her expertise as a regulatory and compliance attorney for the financial services industry and the accounts receivable management industry. With a proven history of leadership and advocacy, she stands out as an industry luminary. Specializing in the Fair Debt Collection Practices Act, the Fair Credit Reporting Act, the Telephone Consumer Protection Act, AI and privacy laws Andersen's expertise further extends to association law, technology law, corporate governance, and providing general counsel and litigation management services.



**Catherine Calko** is the Chief Compliance Officer and Assistant General Counsel for Spring Oaks Capital. She joined the company in 2020 as the Director of Audit and Compliance, bringing with her an extensive career in compliance and operational controls from her previous roles at Bank of America (MBNA) and Wells Fargo. In recognition of her experience and leadership, Catherine was promoted to her current position in 2021. In addition to her corporate experience, Catherine has also served as an Assistant Attorney General with the Ohio Attorney General's Office. She holds a BA from Bowling Green State University and earned her law degree from the University of Akron.